SURVYR. / SYSTEMATIC SOLUTIONS

SOLUTIONS FOR PIONEER FINANCIAL

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Moves | Exits & Filings | 401(k), BOLI & PE | Markets & News | Smart Money | Social Sentiment | Warm Intros | Watchlist

Last 30 Days | Older Archive (30D–1Y) | Promotions | Retirements | Cards | List View

What is SURVYR.?

A daily radar for Pioneer Financial that surfaces **partner promotions, executive retirements, private business sales, 90-day 10b5-1 stock sales, and unlocked 401(k) / BOLI-COLI plans**—complete with **release dates, 1st-degree warm intros, direct phone & email, and 1-click CSV exports**.

GOAL #1 · CLIENTS WHO NEED FULL ADVISORY

Target Operating Owners, Promoted Partners & Retiring C-Suite

Finds non-Wall-Street business owners, newly promoted equity partners, and retiring executives who need **401(k) & Cash Balance plans, BOLI/COLI coverage, NUA rollovers, and trust planning**.

GOAL #2 · BEAT WIREHOUSES TO THE FIRST CALL

Spot Public Filings 3 to 6 Months Before the Press Release

Tracks **SEC 8-K Item 5.02 notices, 90-day 10b5-1 plans, Form 144 notices, and DOL Form 5500 filings** the day they hit public registries—months before wirehouse cold calls begin.

#1 Promotions, Lateral Moves & Executive Retirements ('Moves' Tab #1)

- Tracks newly promoted Equity Partners & Managing Directors plus Public C-Suite and Am Law 50 Retirements with exact filing dates.
- Filter by Last 30 Days vs. Older Archive (30D–1Y), Promotions, or Retirements, and toggle between Cards and List View right above the cards.

PUBLIC C-SUITE RETIREMENT · Oct 26, 2026 · Beachwood, OH / NYC · $34.5M Retirement Rollover

ETN

Richard M. Sterling — Eaton Corporation plc (ETN)

EVP & Chief Operations Officer Retiring After 29 Years (SEC Form 8-K Item 5.02)

Value / Comp: $34.5M total retirement package ($19.2M vested stock + $9.8M SERP + $5.5M 401(k))
Planning Hook: Immediate 401(k) & NUA lump-sum rollover, SERP distribution schedule & estate trust setup

1st-Deg Intro: david.s.kaufman — Lead Audit Partner, Industrial Manufacturing, Ernst & Young LLP — Profile →

r.sterling@eaton.com · +1 (440) 523-5000 · LinkedIn · SEC Form 8-K Item 5.02

#2 Private Business Buyouts, 90-Day 10b5-1 Plans & Form 144 Cash-Outs ('Exits & Filings' Tab)

- Surfaces bootstrapping founders selling to PE or strategic buyers alongside executives filing 90-day cooling-off 10b5-1 sales.
- Shows the exact cash windfall, tax/trust window, and 1st-degree deal counsel or CPA warm introduction path.

100% BOOTSTRAPPED · ZERO VC · Oct 26, 2026 · Secaucus, NJ · $64M Cash To Founders

TS

Robert & Anthony Calabrese — Tri-State Cold Storage & Logistics

Sold 3-facility Secaucus cold-storage operator to Lineage Logistics ($64M cash closing)

Value / Comp: $64M gross ($58M cash at close, $6M 18-month escrow) — 100% family-owned
Planning Hook: Pre-close Delaware dynasty trust, muni bond ladder & $58M post-close allocation

1st-Deg Intro: Sarah L. Jenkins — Partner, M&A Practice, Lowenstein Sandler LLP — Profile →

rcalabrese@tristatecold.com · +1 (201) 555-0184 · LinkedIn · NJ UCC / Deed Transfer

#3 401(k) Takeovers, BOLI / COLI Gaps & Aged PE Waterfalls ('401(k), BOLI & PE' Tab)

- Identifies regional law, medical, and engineering firms overpaying on 401(k) fees or lacking Cash Balance and BOLI/COLI executive coverage.
- Tracks Private Equity portfolio companies held 5.5–7.5+ years approaching mandatory fund exit waterfalls.

DOL FORM 5500 · PLAN TAKEOVER · Oct 21, 2026 · Hackensack, NJ · $148M Plan · 68 bps Fee

CS

Michael T. Cesa (CFO) — Cole Schotz P.C.

175-attorney NJ law firm on open-architecture Fidelity window with no dedicated Cash Balance or COLI plan

Plan Lockup: Fidelity Investments — Open-Architecture (No proprietary termination penalty)
BOLI / COLI Gap: Zero COLI life policy on 95 equity partners; top-heavy profit-sharing testing gap

1st-Deg Intro: David R. Mendelsohn — Managing Partner, Real Estate, Cole Schotz P.C. — Profile →

mcesa@coleschotz.com · +1 (201) 489-3000 · LinkedIn · DOL Form 5500 Filing

#4 Live Markets, Smart Money 13F Buying/Dumping, Social Sentiment & Custom Watchlist

- Tracks live market indices, 13F hedge fund accumulation vs. liquidations, and real-time social sentiment spikes across X, Reddit & LinkedIn.
- Use 'Edit Site' to switch Light/Dark Mode, 6 Color Themes (Slate-Teal default), or Text Size, and click 'Export CSV' to download the Last 30 Days or Older Archive.

13F SMART MONEY + WATCHLIST · Oct 26, 2026 · New York, NY · 81 Verified Records in CSV

GS

1st-Degree Warm Intros + 1-Click Excel (.CSV) Database Exports

Download Last 30 Days (70 records), Older Archive (11 records), or Master Database (81 records) in 1 click

Warm Intro Engine: Matches public deal counsel, audit partners, board overlaps & your uploaded LinkedIn CSV
Site Customization: Single 'Edit Site' button controls Light/Dark Mode, 6 Color Schemes & Text Size

1st-Deg Intro: Instant Email & Push Alerts for Goldman Sachs, Kirkland & Ellis, and any tracked firm — Profile →

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